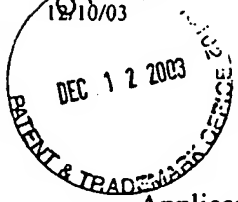


12/10/03

PATENT APPLICATION
Attorney's Docket No.: 3602.1000-001

12-1503

FW
2/5



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Nicholas Stamos, Seth N. Birnbaum, Tomas Revesz, Jr., Donato
Buccella, Keith A. MacDonald and Dwayne A. Carson
Application No.: 10/655,573 Group: 2131
Filed: September 4, 2003 Examiner: Not assigned
Confirmation No.: 6427
For: DIGITAL ASSET USAGE ACCOUNTABILITY VIA EVENT
JOURNALING

Date: 12/12/03

EXPRESS MAIL LABEL NO. EV 214934431 US

TRANSMITTAL OF PROCESSING AND RETENTION FEE

Mail Stop Missing Parts
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

The Processing and Retention Fee pursuant to 37 C.F.R. §1.53(f)(5) is being submitted herewith. A continuing application which claims priority under 35 U.S.C. § 120 to the instant application pursuant to 37 C.F.R. § 1.78(a)(1)(iv) was filed on November 18, 2003.

☒ A Notice to File Missing Parts dated December 1, 2003 has been received in the above-captioned application. The Processing and Retention Fee is being filed in lieu of a Reply thereto. A copy of the Notice is attached.

☐ A Petition for an Extension of Time for responding to the referenced Notice and the requisite petition fee are enclosed for the purpose of maintaining copendency with the continuing application.

10/15/2007 SDIRETA1 00000016 10655573

01 FC:8025

130.00 DP

☒ The fee of \$130 as set forth in 37 C.F.R. §1.21(l) is enclosed herewith.


☐ A check in the total amount of \$[] is enclosed herewith.

☐ Please charge Deposit Account No. 08-0380 in the amount of \$[].

Please charge any deficiency or credit any overpayment in the fees that may be due in this matter to Deposit Account No. 08-0380. A copy of this document is enclosed for accounting purposes.

Respectfully submitted,

HAMILTON, BROOK, SMITH & REYNOLDS, P.C.

By 
David J. Thibodeau, Jr.
Registration No. 31,671
Telephone: (978) 341-0036
Facsimile: (978) 341-0136

Concord, MA 01742-9133

Dated:

12/11/03



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
 United States Patent and Trademark Office
 Address: COMMISSIONER FOR PATENTS
 P.O. Box 1450
 Alexandria, Virginia 22313-1450
 www.uspto.gov

APPLICATION NUMBER	FILING OR 371 (c) DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/655,573	09/04/2003	Nicholas Stamos	3602.1000-001

021005

HAMILTON, BROOK, SMITH & REYNOLDS, P.C.

530 VIRGINIA ROAD

P.O. BOX 9133

CONCORD, MA 01742-9133



CONFIRMATION NO. 6427

FORMALITIES LETTER



OC000000011379112

Date Mailed: 12/01/2003

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

12/17/2003 BABRAHA1 00000093 10655573

FILED UNDER 37 CFR 1.53(b)

01 FC:1051

130.00 0P

Filing Date Granted

Items Required To Avoid Abandonment:

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- o The statutory basic filing fee is missing.
Applicant must submit \$ 770 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).
- o The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- o To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- o Replacement drawings in compliance with 37 CFR 1.84 and 37 CFR 1.121 are required. The drawings submitted are not acceptable because:
 - The drawings must be reasonably free from erasures and must be free from alterations, overwriting, interlineations, folds, and copy marks. See Figure(s) 2,3.

The applicant needs to satisfy supplemental fees problems indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment: